



Standard Commission and Charge Table 各項佣金及服務收費

Market 市場	Currency 幣別	Item 項目	Charge 收費
Trade-related Services 證券交易服務:			
Hong Kong 香港	HKD	Brokerage Commission 經紀佣金	Negotiable, 0.25% on Transaction Amount (Min Charge HK\$100) 可商議, 成交金額之0.25% (最低收費港幣100元)
		Stamp Duty 從價印花稅	HK\$1.00 for every HK\$1,000.00 of Transaction Amount (or part thereof) - Paid by both buyer & seller 每港幣1,000元成交金額收費港幣1.00元 (不足港幣1,000元亦作1,000元計) - 買賣雙方均需繳付
		Transaction Levy 交易徵費	SFC Transaction Levy of Transaction Amount 0.0027% 證監會交易徵費, 成交金額之0.0027%
		Trading Fee 交易費	SEHK Trading Fee of Transaction Amount 0.005% 聯交所交易費, 成交金額之0.005%
		CCASS Fee 交易費 (包括市場及中央結算有關徵費)	0.002% on Transaction Amount (Min HK\$2.00 / Max HK\$100.00) 成交金額之0.002% (最低收費港幣2元 / 最高收費港幣100元)
		Italian Financial Transaction Tax (IFTT) (Collected for Italian Government) (Italian companies with market capitalization of more than Euro 500m and listed in Hong Kong) 代收意大利金融交易稅 (意大利政府) (只適用於香港上市的意大利公司)	0.20% on the Net Purchase Amount 淨買入成交金額之0.20% (Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day) (當日淨買入股數 x 當日加權平均買入價 x 稅率 0.20%)
Shanghai A (Shanghai-Hong Kong Stock Connect) / Shenzhen A (Shenzhen-Hong Kong Stock Connect) 上海A股 / 深圳A股 (滬港通北向交易 / 深港通北向交易)	CNY	Brokerage Commission 經紀佣金	Negotiable, 0.25% on Transaction Amount (Min Charge CNY50) 可商議, 成交金額之0.25% (最低收費人民幣50元)
		Stamp Duty (SAT) 交易印花稅 (國家稅務總局)	0.10% of the consideration of a transaction on the seller 只收賣方成交金額 0.10%
		Securities Management Fee (CSRC) 證管費 (中國證監會)	0.002% of the consideration of a transaction per side 雙邊成交金額之0.002%
		Handling Fee (SSE) 經手費 (上交所)	0.00487% of the consideration of a transaction per side 雙邊成交金額之0.00487%
		Transfer Fee (ChinaClear) 過戶費 (中國結算)	0.004% of the consideration of a transaction per side 雙邊成交金額之0.004%就A股存管及代理人服務收取
Portfolio Fee (HKSCC) 證券組合費 (由香港結算收取)	每年0.008% (證券組合費根據每日投資組合內滬股的總價值計算, 每月收取) In annual rate 0.008% (Portfolio Fee is calculated by the total market value of A share in daily investment portfolio, and is charged in CNY)		
USA 美國	USD	Brokerage Commission 經紀佣金	Negotiable, 0.25% on Transaction Amount (Min Charge US\$20) P.S.: Extra US\$0.01 per share will be charged on commission when settlement price is below US\$5 可商議, 成交金額之0.25% (最低收費美元20元) 註: 如交易股價不足美金5元, 佣金需額外加上每股美金0.01元作計算
Shanghai B 上海B股	USD	Brokerage Commission 經紀佣金	Negotiable, 0.40% on Transaction Amount (Min Charge US\$30) 可商議, 成交金額之0.40% (最低收費美元30元)
		Stamp Duty 印花稅	0.10% on Transaction Amount (For sell trade only) 成交金額之0.10% (只限賣出交易)
		Transaction Levy 交易徵費	0.026% on Transaction Amount 成交金額之0.026%
		Settlement Fee 結算費	0.04% on Transaction Amount 成交金額之0.04%
Shenzhen B 深圳B股	HKD	Brokerage Commission 經紀佣金	Negotiable, 0.40% on Transaction Amount (Min Charge HK\$100) 可商議, 成交金額之0.40% (最低收費港幣100元)
		Stamp Duty 印花稅	0.10% on Transaction Amount (For sell trade only) 成交金額之0.10% (只限賣出交易)
		Transaction Levy 交易徵費	0.0321% on Transaction Amount 成交金額之0.0321%
		Settlement Fee 結算費	0.05% on Transaction Amount 成交金額之0.05%
Singapore 新加坡	SGD	Brokerage Commission 經紀佣金	Negotiable, 0.70% on Transaction Amount (Min Charge USD150) 可商議, 成交金額之0.70% (最低收費美元150元)
		Local Brokerage Fees 當地佣金	0.05% on Transaction Amount 成交金額之0.05%
		Clearing Fee 結算費用	0.0325% on Transaction Amount 成交金額之0.0325%
		SGX Trading Fee 新加坡交易費	0.0075% on Transaction Amount 成交金額之0.0075%
Transaction Fee 交易徵費	SG\$55 / US\$45 新加坡元55元 / 美元45元		
Japan 日本	JPY	Brokerage Commission 經紀佣金	Negotiable, 0.50% on Transaction Amount (Min Charge JPY\$5,000) 可商議, 成交金額之0.50% (最低收費日幣5,000元)
UK 英國	GBP	Brokerage Commission 經紀佣金	Negotiable, 1.25% on Transaction Amount (Min Charge GBP\$15) 可商議, 成交金額之1.25% (最低收費英鎊15元)
		Stamp Duty 印花稅	0.5% on Transaction Amount (For buy trade only) 成交金額之0.5% (只限買入交易)
		Other Tax 其他稅項	GBP\$1 (Charge only when the gross amount is over GBP\$10,000) 英鎊1元 (當成交金額大於英鎊10,000元時收取)
Thailand 泰國	THB	Brokerage Commission 經紀佣金	Negotiable, 0.80% on Transaction Amount (Min Charge THB\$700) 可商議, 成交金額之0.80% (最低收費泰幣700元)
VAT 從價印花稅	7% on Transaction Amount 成交金額之7%		
Australia 澳洲	AUD	Brokerage Commission 經紀佣金	Negotiable, 0.50% on Transaction Amount (Min Charge AU\$30) 可商議, 成交金額之0.50% (最低收費澳幣30元)
Korea 韓國	KRW	Brokerage Commission 經紀佣金	Negotiable, 0.50% on Transaction Amount (Min Charge KRW\$35,000) 可商議, 成交金額之0.50% (最低收費韓幣35,000元)
		Sales Tax 銷售稅	0.3% of Transaction Amount 成交金額之0.3%

Scrip handling and settlement-related services 實物股票處理及交收:			
Hong Kong 香港	HKD	Transfer Stamp Duty Deed 轉手紙厘印費	HK\$5.00 for each transfer deed 每張轉手紙港幣5元
		Settlement Instruction 交收指示 (即SI)	HK\$50.00 per Transaction (For Delivery Instructions) 每一提貨交收指示收取手續費港幣50元
		Investor Settlement Instruction 投資者交收指示 (即ISI)	HK\$30.00 per Transaction (For Delivery Instructions) 每一提貨交收指示收取手續費港幣30元
		Delivery of Settlement Instruction 代客將交收指示轉遞予對手行家	HK\$150.00 of each Settlement Instruction 每一交收指示收費港幣150元
		Custody Fee 結算所存倉保管費	Free of charges (exempted by TCCS) 免收 (已獲本公司豁免)
		Stock Deposit Fee 結算所入倉費	free of charges (exempted by TCCS) 免收 (已獲本公司豁免)
		Physical Stock Withdrawal Fee 實物股票提取費	HK\$3.50 per Board Lot (Odd Lots also charge HK\$3.50 each) & Handling Charge HK\$50.00 每一買賣單位收取港幣3.5元及手續費50元 (不足一手亦當一手計)
		Dividend / Bonus Collection Fee 代收股息 / 紅股	0.50% on Dividend Amount (Min HK\$25.00) & Script Fee HK\$1.50 per lot 現金股息之0.50% (最低收費港幣25元)及過戶費每手港幣1.5元 Entitled Bonus: Script Fee HK\$1.50 per lot & HK\$25.00 Handling Charge 股權分發: 登記及過戶費每手1.5元及手續費港幣25元
Exercise Warrant/ Right Issue / Open Offer 行使換股證 / 供股權	HK\$50.00 for cost of exercise, Scrip Fee, ad valorem stamp duty, CCASS fee & Handling Charges 供股價、過戶處費用、中央結算所費用及手續費港幣50元		
Claims of Entitlement 申請發還利息、紅股及股權等	Cost of claim plus HK\$200.00 per stock per claim 追討成本及每次每種股票手續費港幣200元		
Registration and Transfer Fee of Physical Stock in Client's Name 代客辦過戶費 (實貨)	Scrip Fee by Registration HK\$2.50 per lot & HK\$300.00 Handling Charge 每一買賣單位收取港幣2.5元及手續費300元		
Shanghai A / Shenzhen A 上海A股 / 深圳A股 (港港通北向交易 / 深港通北向交易)	CNY	Dividend / Bonus Collection Fee 代收股息 / 紅股	0.50% on Dividend Amount (Min CNY 30.00) 現金股息之0.50% (最低收費人民幣30元)
USA 美國	USD	Handling Charge 手續費	0.5% on Dividend Amount (Min US\$3.2) 現金股息之0.5% (最低收費美元3.2元)
		Tax 稅項	30% on Dividend Amount (Min US\$0.05) 現金股息之30% (最低收費美元0.05元)
		Settlement Instruction 交收指示 (即SI)	US\$100.00 per Transaction (For Delivery Instructions) 每一提貨交收指示收取手續費美元100元 US\$30.00 per Transaction (For Receipt Instructions) 每一收貨交收指示收取手續費美元30元
Shanghai B 上海B股	USD	Handling Charge 手續費	0.5% on Dividend Amount (Min US\$3.2) 現金股息之0.5% (最低收費美元3.2元)
Shenzhen B 深圳B股	HKD	Handling Charge 手續費	0.5% on Dividend Amount (Min HK\$25) 現金股息之0.5% (最低收費港幣25元)
Singapore 新加坡	USD	Handling Charge 手續費	US\$3.2 or equivalent in settlement currency 美元3.2元 或交收貨幣的等值
OTC 場外交易市場	USD	Settlement Instruction 交收指示 (即SI)	US\$100.00 per Transaction (For Delivery Instructions) 每一提貨交收指示收取手續費美元100元
		Interest / Maturity Payment Collection Fee 代收利息 / 到期款項	US\$20 美元20元
Financing and other services 借貸及其他服務:			
Hong Kong 香港	HKD	Returned Cheque 退票	HK\$200.00 per each Returned Cheque 每一退票收費港幣200元
		Application of IPO 認購新股	Non-Financing: HK\$50.00 per application (manual) Financing: HK\$100.00 per application (if applicable) 現金申請: 經人手處理申請收費港幣50元 融資申請: 手續費港幣100元 (如適用)
		Placement 配股	Handling charges: HK\$100.00 手續費港幣100元
OTC 場外交易市場	USD	GDR Cancellation Fee GDR轉換費用	US\$0.05 per GDR Share and US\$20 Wire Payment Fee per Instruction 每股GDR美元0.05元及每一單指示電匯手續費美元20元
Information services 資訊服務:			
Hong Kong 香港	HKD	Real-Time Price quote fee 即時報價費	MegaHub (H.K.): HK\$300 per month MegaHub (香港): 每月港幣300元

除一般市場固定費用外，有關本公司自行厘定的收費，可隨時按市場供求更改而不另通知。

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